# UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS

Washington, D.C. 20231

SERIAL NUMBER REQUEST DATE		FIRST NAMED APPLICANT	ATTORNEY DOCKET NO.	
10/622,067	6/23/04	MORELLO, ET AL.		NAC-447
Title: COF	RE INSERT AND METH	HOD OF USE		
			L	·
			Art Unit	Paper Number
Correspondence /	Address:			<u> </u>
MICHAEL H. E	BRODOWSKI			
TESTA, HURV	VITZ, & THIBEAULT, LL	.P.		*

Licensee under 35 U.S.C. 184 is hereby granted to file in any foreign country a patent application and any amendments thereto corresponding to the subject matter of this U.S. application identified above and/or any material accompanying the petition. This license is conditioned upon modification of any applicable secrecy order and is subject to revocation without notice.

License Number:

125 HIGH STREET

BOSTON, MASSACHUSETTS 02110

535.508

**Grant Date:** 

25-No/-05

This license is granted retroactively to the date(s) and the country(s) indicated on the attached decision.

Approved:

or Commissioner of Patents and Trademar

This license empowers the filing, the causation and the authorization of the filing of a foreign application or applications on the subject matter identified above, subsequent forwarding of all duplicate and formal papers and the prosecution of such application or applications.

This license does not empower the filing of any applications, amendments, supplements or continuances originating in this country which disclose inventions, modifications, or variations not disclosed in the subject matter identified above.

This license is to be retained by the licensee and may be used at anytime on or after the date thereof. This license is not retroactive unless specifically indicated.

The grant of this license does not in any way lesson the responsibility of the licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations, especially with respect to certain countries, of other agencies, particularly the Office of Foreign Assets Control, Department of the Treasury; Office of Munitions Control, Department of State (with respect to Arms, Munitions and Implements of War); the Bureau of Trade Regulation, Office of Export Adminstration, Department of Commerce; and the Department of Energy.

#### LICENSE FOR FOREIGN FILING

[Title 35, United States Code (1952) Sections 184, 185, 186]



# UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

In re:

MORELLO, et al.

:DECISION ON REQUEST

:UNDER 37 CFR 5.25

Petition Filing date:

23 June 2004

Serial No.:

10/622,067

Docket No.:

NAC-447

Title: CORE INSERT AND METHOD OF USE

This is a decision on the petition for retroactive foreign filing license.

It has been determined that a retroactive license for foreign filing under 35 U.S.C. 184 be granted with respect to the filing(s) listed below. The petition complies with 37 C.F.R. 5.25 in that there is an adequate showing that the subject matter in question was not under secrecy order, that the license was diligently sought, and that the material was filed abroad without the required license under 37 C.F.R 5.11 through error and without deceptive intent.

**Foreign Filing Location** 

**Date** 

Canada

23 July 2003

Yvønne R. Abbøtt, Esq.

Patent) Examiner

Licensing & Review

*5*711/272-6896



# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S):

Morello et al.

CONFIRMATION NO.:

1835

SERIAL NO.:

10/622,067

**GROUP NO.:** 

3676

FILING DATE:

July 17, 2003

**EXAMINER:** 

Not Yet Assigned

TITLE:

CORE INSERT AND METHOD OF USE

# CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 16<sup>th</sup> day of November, 2004.

Sandra Allen

Mail Stop Petition Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

#### Submitted herewith is/are:

- 1. Renewed Petition for Retroactive License Under 37 C.F.R. § 5.25 (2 pgs);
- 2. Supplemental Verified Statement in Support of Renewed Petition for Retroactive License Under 37 C.F.R. § 5.25 (2 pgs); and a
- 3. Return Receipt Postcard.





PATENT Attorney Docket No. NAC-447

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**INVENTORS:** 

Morello et al.

SERIAL NO.:

10/622,067

**GROUP NO.:** 

3676

FILING DATE:

July 17, 2003

**EXAMINER:** 

Not Yet Assigned

TITLE:

CORE INSERT AND METHOD OF USE

Mail Stop Petition
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

#### RENEWED PETITION FOR RETROACTIVE LICENSE UNDER 37 C.F.R. § 5.25

In accordance with 37 C.F.R. § 5.25(b), the undersigned attorney renews the petition for a retroactive license under 35 U.S.C. § 184 for the above-identified patent application.

A Decision on Request Under 37 CFR 5.25 ("Decision") was mailed from the U. S. Patent and Trademark Office on September 27, 2004. In response to the Decision, the undersigned attorney submits this Renewed Petition for Retroactive License Under 37 C.F.R. § 5.25 ("Renewed Petition") along with a Supplemental Verified Statement in Support of Renewed Petition for Retroactive License Under 37 C.F.R. § 5.25. This Renewed Petition is submitted within 60 days of the mailing date of the Decision, *i.e.*, by November 26, 2004.

The undersigned attorney thanks Examiner Abbott for discussing the Decision on October 13, 2004. As a result, the undersigned attorney submits this Renewed Petition and Supplemental Verified Statement.

The undersigned attorney believes that no fee is due for this Renewed Petition, however, if a fee is required for this submission, the Director is authorized to charge the fee to Deposit Account No. 20-0531.

Renewed Petition For Retroactive License Under 37 C.F.R. § 5.25 Serial No. 10/622,067 Page 2 of 2

The undersigned respectfully requests that this Renewed Petition be granted. If there are any questions with respect to this Renewed Petition, the Patent Office is requested to contact the undersigned attorney.

Respectfully submitted,

Michael H. Brodowski

Attorney for Applicants

High Street Tower

Dated: November 16, 2004

Reg. No. 41,640

Tel. No.: (617) 248-7012

Fax No.: (617) 790-0037

125 High Street Boston, Massachusetts 02110

Testa, Hurwitz & Thibeault, LLP

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# UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

SERIAL NUMBER	FILING DATE	FIRST NAMED APPLICANT	АΠ	ORNEY DOCKET NO.
10/622,067	23-Jun-04	MORELLO, ET AL.		NAC-447
Title: CORE				
			Art Unit	Paper Number
Correspondence Address: MICHAEL H. BRODOWSKI TESTA, HURWITZ, & THIBEAULT, LLP.			PATENT & TRADEMARK OFFICE MAILED	
125 HIGH STREET BOSTON, MASSACHUSETTS 02110			SEP 2 7 2004	

LICENSING & REVIEW

Please find attached a communication from the Examiner regarding the Petition for Retroactive License under 37 CFR 5.25.



#### United States Patent and Trademark Office

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

In re:

MORELLO, et al.

:DECISION ON REQUEST

Petition Filing date:

23 June 2004

:UNDER 37 CFR 5.25

Serial No.:

10/622,067

Docket No.:

NAC-447

Title: CORE INSERT AND METHOD OF USE

This is a decision on the petition filed June 23, 2004 for retroactive foreign filing license.

Decision: Denied

37 CFR 5.25(a) requires the following:

- 1. A listing of each of the foreign countries in which the unlicensed patent application material was filed,
- 2. The dates on which the material was filed in each country,
- 3. A verified statement (oath or declaration) containing:
  - i. An averment that the subject matter in question was not under a secrecy order at the time it was filed abroad, and that it is not currently under a secrecy order,
  - ii. A showing that the license has been diligently sought after discovery of the proscribed foreign filing, and
  - iii. An explanation of why the material was filed abroad through error and without deceptive intent without the required license under \$ 5.11 first having been obtained, and
- 4. The required fee (\$ 1.17(h)).

The above explanation must include a showing of facts rather than a mere allegation of action through error and without deceptive intent. The showing of facts as to the nature of the error should include statements by those persons responsible for or having personal knowledge of the acts regarding filing in a foreign country and should be accompanied by copies of any necessary supporting documents such as letters of transmittal or instructions for filing. The acts which are alleged to constitute error without deceptive intent should cover the period leading up to and including each of the proscribed foreign filings.

The petition is Denied at this time in that the petition is defective since the

requirements set forth in 37 C.F.R. 5.25(a)(3)(iii) have not been met.

The petition does not include a verified statement by one who actually filed the foreign patent applications, or who had personal knowledge of the acts regarding filing in a foreign country through error and without deceptive intent. Mr. Brodowski, while presently of counsel, is not considered to either have filed the applications at issue or to have personal knowledge of the acts or intent surrounding said filing as admitted in his Verified Statement in Support of Petition (p. 2) which states in relevant part "the undersigned attorney only recently became aware that the foreign filing had taken place without the required foreign filing license having first been obtained" Accordingly, his statement is inadequate to satisfy the requirements of 37 C.F.R. 5.25.

Thus, in the absence of a verified statement including an explanation or showing that the license was diligently sought, and that the foreign application was filed through error without deceptive intent, the provisions of 37 CFR 5.25 have not been met.

Accordingly, the provisions of 37 CFR 5.25 not having been fully met, the petition is DENIED, and in the absence of any response within 60 days of the mailing date of this letter, such denial will be made final and the final action under 35 U.S.C. 185 will be taken. Extensions of time may be had under 37 C.F.R. 1.136(a).

Yyonne R. Abbott

Patent Examiner

'n

Licensing & Review

(703) 308-2866

# NOV 1 7 2003

#### OFFICE OF PETITIONS

DAKK

PATENT Attorney Docket No. NAC-447

THE UNITED STATES PATENT AND TRADEMARK OFFICE

**INVENTORS:** 

Morello et al.

SERIAL NO.:

10/622,067

GROUP NO.:

3676

FILING DATE:

July 17, 2003

**EXAMINER:** 

Not Yet Assigned

TITLE:

CORE INSERT AND METHOD OF USE

RECEIVED

DEC 0 2 2003

Mail Stop Petition
Commissioner for Patents

P.O. Box 1450 Alexandria, VA 22313-1450 **GROUP 3600** 

# PETITION FOR RETROACTIVE LICENSE UNDER 37 C.F.R. § 5.25

The undersigned attorney petitions for a retroactive license under 35 U.S.C. § 184 for the above-identified patent application. In accordance with 37 C.F.R. § 5.14(a), a copy of the above-identified patent application is not submitted with this Petition.

In accordance with 37 C.F.R. § 5.25(a)(1) and (2), the undersigned attorney submits that the patent application was filed with the Canadian Patent Office on July 17, 2003.

In accordance with 37 C.F.R. § 5.25(a)(3), a verified statement from the undersigned attorney is submitted herewith.

In accordance with 37 C.F.R. § 5.25(a)(4), the required fee under 37 C.F.R. § 1.17(h), i.e., \$130.00, is submitted herewith. If an additional fee is required for this submission, the Director is authorized to charge the fee to Deposit Account No. 20-0531.

The undersigned respectfully requests that this Petition be granted. If there are any

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Petition For Retroactive License Under 37 C.F.R. § 5.25 Serial No. 10/622,067 Page 2 of 2

questions with respect to this Petition, the Patent Office is requested to contact the undersigned attorney.

Respectfully submitted,

Dated: November 12, 2003

Reg. No. 41,640

Tel. No.: (617) 248-7012

Fax No.: (617) 790-0037

Michael H. Brodowski Attorney for Applicants

Testa, Hurwitz & Thibeault, LLP

High Street Tower 125 High Street

Boston, Massachusetts 02110

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